3762

DOCKET NO. 02-004DIV2 (ANSI01-00039)

Customer No. 36029

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

h re application of

Damon Ray Black, et al.

Serial No.

10/042,992

Filed

January 9, 2002

For

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IMPLANTABLE LEAD AND METHOD OF MANUFACTURE

Group No.

3762

Examiner

(Not Yet Assigned)

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TECHNOLOGY CENTER R3700

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

The undersigned hereby certifies that the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO/SB/08A;
- 3. Twenty-seven (27) references as cited in Information Disclosure Statement; and
- 4. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October , 2003.

Date: /

Data

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DOCKET NO. 02-004DIV2 (ANSI01-00039) Customer No. 36029



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TECHNOLOGY GENTER RETTOO

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. Copies of the listed references are submitted herewith.

U.S. PATENT NO.	<u>INVENTOR</u>	<u>DATE</u>
4,285,347	Hess	August 25, 1981
4,369,791	Friedman	January 25, 1983
4,374,527	Iversen	February 22, 1983
4,379,462	Borkan et al.	April 12, 1983

DOCKET NO. 02-004DIV2 (ANSI01-00039) U.S. SERIAL NO. 10/042,992 PATENT

U.S. PATENT NO.	INVENTOR	<u>DATE</u>
4,432,377	Dickhudt	February 21, 1984
4,437,474	Peers-Trevarton	March 20, 1984
4,458,695	Peers-Trevarton	July 10, 1984
4,514,589	Aldinger et al.	April 30, 1985
4,549,556	Tarjan et al.	October 29, 1985
4,592,372	Beranek	June 3, 1986
4,699,157	Shonk	October 13, 1987
5,121,754	Mullett	June 16, 1992
5,330,521	Cohen	July 19, 1994
5,374,285	Vaiani et al.	December 20, 1994
5,417,208	Winkler	May 23, 1995
5,458,629	Baudino et al.	October 17, 1995
5,555,618	Winkler	September 17, 1996
5,562,722	Racz et al.	October 8, 1996
5,827,272	Breining et al.	October 27, 1998
5,897,584	Herman	April 27, 1999
6,236,892	Feler	May 22, 2001
FOREIGN PATENT NO.	COUNTRY	<u>DATE</u>
EP 0 293 499 A1	Europe	December 7, 1988
EP 0 329 112 A1	Europe	August 23, 1989
EP 0 622 089 A2	Europe	November 2, 1994
WO 95/22371	PCT ·	August 24, 1995
WO 98/34678	PCT	August 13, 1998

DOCKET NO. 02-004DIV2 (ANSI01-00039)
U.S. SERIAL NO. 10/042,992
PATENT

FOREIGN PATENT NO.

COUNTRY

DATE

WO 98/47560

PCT

October 29, 1998

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date:

10/17/2003

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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Under the Paperwork Reduction control number Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2	Attorney Docket Number	02-0041

Complete if Known				
Application Number	10/042,992			
Filing Date January 9, 2002				
First Named Inventor	Damon Ray Black			
Art Unit	3762			
Examiner Name	Not yet assigned			
Attorney Docket Number				

			U.S. PATE	NT DOCUMENTS	
Examiner Initials	1	Document Number Number - Kind Code ² (if know	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BA	us- 4,285,347	08/25/1981	Hess	
	BB	us- 4,369,791	01/25/1983	Friedman	
	AC	us- 4,374,527	02/22/1983	Iversen	
	AD	us- 4,379,462	04/12/1983	Borkan et al.	
	AE	us- 4,432,377	02/21/1984	Dickhudt	
	AF	us- 4,437,474	03/20/1984	Peers-Trevarton	
	AG	us- 4,458,695	07/10/1984	Peers-Trevarton	10.3
	AH	us- 4,514,589	04/30/1985	Aldinger et al.	C. 1002
	Al	us- 4,549,556	10/29/1985	Tarjan et al.	
	AJ	us- 4,592,372	06/03/1986	Beranek	CL OCT OF CENT
	AK	us- 4,699,157	10/13/1987	Shonk	1 0C/ 4 Cs.
	AL	us- 5,121,754	06/16/1992	Mullett	, 'oa,
	AM	us- 5,330,521	07/19/1994	Cohen	, NOT
	AN	us- 5,374,285	12/20/1994	Vaiani et al.	, com
	AO	us- 5,417,208	05/23/1995	Winkler	\ <u>\</u>
	AP	us- 5,458,629	10/17/1995	Baudino et al.	
	AR		09/17/1996	Winkler	
	AS	us- 5,562,722	10/08/1996	Racz et al.	
	AT	us- 5,827,272	10/27/1998	Breining et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6		
<u> </u>	ΑŪ	EP 0 293 499 A1	12/07/1988	Siemens Elem AB				
	ΑV	EP 0 329 112 A1	08/23/1989	Medtronic, Inc.				
	ΑW	EP 0 622 089 A2	11/02/1994	Siemens Aktiengesellschaft				
	AX	WO95/22371	08/24/1995	Medtronic, Inc.				
	ΑY	WO98/34678	08/13/1998	Medtronic, Inc.				
	ΑZ	WO/9847560	10/29/1998	Medtronic, Inc.				

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Examiner	Date	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it contains a valid OMB Under the Paperwork Re control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2 2 Sheet

Complete if Known				
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Examiner Name	Not yet assigned			
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	BA	us- 5,897,584	04/27/1999	Herman	
]	BB	us- 6,236,892	05/22/2001	Feler	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Foreign Patent Document Country Code 3 -Number 4 - Kind Code 5 (// known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т'	
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Examiner		Date	
Signature	•	Considered	

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.